

Docket No.

294908US0PCT

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Raimund RUPPEL, et al.

SERIAL NO:

New US PCT Application Based on PCT/EP05/02848

GAU:

FILED:

Herewith

**EXAMINER:** 

FOR:

POLYETHER ALCOHOLS AND METHOD FOR THE PRODUCTION OF POLYETHER ALCOHOLS FOR

POLYURETHANE SYNTHESIS

### INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

#### REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

#### **RELATED CASES**

- Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

#### CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- □ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

#### **DEPOSIT ACCOUNT**

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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| Form PTO 1449 U.S. DEPARTMENT OF COMMERCE (Modified) PATENT AND TRADEMARK OFFICE |            |   | ATTY DOCKET NO. 294908US0PCT     |  | SERIAL NO.  New US PCT Application  Based on PCT/EP05/02848 |            |                               |  |
|--|------------|---|----------------------------------|--|---|------------|-------------------------------|--|
| LIST OF REFERENCES CITED BY APPLICANT  |            |   | APPLICANT Raimund RUPPEL, et al. |  |   |            |                               |  |
|  |            |   |                                  | FILING DATE<br>Herewith  |   | GROUP      |                               |  |
|  |            |   | _                                | U.S. PATENT DOCUMENTS  |   |            |                               |  |
| EXAMINER<br>INITIAL  |            | DOCUMENT<br>NUMBER                                  | DATE                             | NAME   |   |            | FILING DATE<br>IF APPROPRIATE |  |
|  | AA         |   |                                  |  |   |            |                               |  |
|  | AB         |   |                                  |  |   |            |                               |  |
|  | AC         |   |                                  |  |   |            |                               |  |
|  | AD         |   |                                  |  |   |            |                               |  |
|  | AE         |   |                                  |  |   |            |                               |  |
|  | AF         |   |                                  |  |   |            |                               |  |
|  | AG         |   |                                  |  |   |            |                               |  |
| 11   | АН         |   |                                  |  |   |            |                               |  |
|  | Al         |   | <u> </u>                         |  |   |            |                               |  |
|  | AJ         |   |                                  | <u></u>  |   |            |                               |  |
|  | AK         |   |                                  |  |   |            |                               |  |
|  | AL         |   |                                  |  |   |            |                               |  |
|  | AM         |   | ļ                                |  |   |            |                               |  |
|  | AN         |   |                                  |  | <u></u>   |            |                               |  |
|  |            |   | FO                               | REIGN PATENT DOCUMENTS   |   |            |                               |  |
|  |            | DOCUMENT<br>NUMBER                                  | DATE                             | COUNTRY  | YE  |            | TRANSLATION<br>S NO           |  |
|  | AO         | 2004 106408   | 12/09/04                         | WO (with English abstract)   |   |            | NO                            |  |
|  | AP         | 0 759 450   | 02/26/97                         | EP (equivalent of US 5811829 and US 5 and US 6036879)                            | 5919888   |            | NO                            |  |
|  | AQ         | 0 705 872   | 04/10/96                         | EP (equivalent of US 5 695 689)  |   |            | NO                            |  |
|  | AR         | 0 862 947   | 09/09/98                         | EP (equivalent of US 6 303 533)  |   |            | NO                            |  |
|  | AS         | 203 735   | 12/24/81                         | DD (with English abstract)   |   |            | NO                            |  |
|  | AT         | 99 51661  | 10/14/99                         | wo   |   |            | NO                            |  |
|  | ΑU         | 0090444   | 10/05/83                         | EP   |   |            | NO                            |  |
|  | AV         |   |                                  | <u> </u>   |   |            |                               |  |
|  |            | OTHER R   | EFERENCES (                      | Including Author, Title, Date, Pertinent   | Pages, et   | :c.)       |                               |  |
|  | AW         |   |                                  |  |   |            |                               |  |
|  | AX         |   |                                  |  |   |            |                               |  |
|  | AY         |   |                                  |  |   |            |                               |  |
|  | AZ         |   |                                  |  | Addit   | ional Refe | rences sheet(s) attached      |  |
| Examiner   |            |   |                                  |  | Date Considered   |            |                               |  |
| *Examiner: Ini   | tial if re | eference is considered,<br>ot considered. Include c | whether or not opy of this form  | t citation is in conformance with MPEP 600 with next communication to applicant. | 9; Draw lin   | e through  | citation if not in            |  |

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New U.S. PCT Application Based on PCT/EP05/02848 Raimund RUPPEL, et al.

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## STATEMENT OF RELEVANCY

- 1) References AO-AQ have been cited in the International Search Report. A copy of these references is being submitted herewith.
- 2) References have been cited in the corresponding Search Report. A copy of these references is being submitted herewith.
- 3) References AR-AU are discussed in the specification. A copy of these references is being submitted herewith.
- 4) References are additional prior art known to Applicant. A copy of these references is being submitted herewith.